

SECTION 1: COVER PAGE

(1) Grant Number: 55IH3657160

(2) Recipient Program Year: 1/1/11-12/31

(3) Federal Fiscal Year: 2016

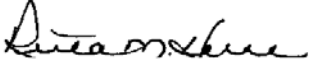
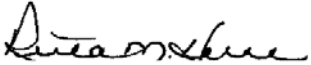
- (4) Initial Plan (Complete this Section then proceed to Section 2)
- (5) Amended Plan (Complete this Section, Section 8 if applicable, and Section 16)

(6) Annual Performance Report (Complete items 27-30 and proceed to Section 3)

(7) Tribe

(8) TDHE

(9) Name of Recipient: Akwasasne Housing Authority		
(10) Contact Person: Herne,Retha M.		
(11) Telephone Number with Area Code: 518-358-9020		
(12) Mailing Address: 378 State Route 37, Suite A		
(13) City: Hogansurg	(14) State: NY	(15) Zip Code: 13655
(16) Fax Number with Area Code (if available): 518-358-2958		
(17) Email Address (if available): Rherne@aha-nsn.gov		
(18) If TDHE, List Tribes Below:		
St.Regis Bands of Mohawk Indians of New York		
(19) Tax Identification Number:		16-1387585
(20) DUNS Number:		196661334
(21) CCR/SAM Expiration Date:		August 4, 2016
(22) IHBG Fiscal Year Formula Amount:		\$2,163,477

(23) Name of Authorized IHP Submitter:	Retha M. Herne
(24) Title of Authorized IHP Submitter:	Executive Director
(25) Signature of Authorized IHP Submitter:	
(26) IHP Submission Date:	9/24/2015
(27) Name of Authorized APR Submitter:	Retha M. Herne
(28) Title of Authorized APR Submitter:	Executive Director
(29) Signature of Authorized APR Submitter:	
(30) APR Submission Date:	2/17/2017

Certification: The information contained in this document is accurate and reflects the activities actually planned or accomplished during the program year. Activities planned and accomplished are eligible under applicable statutes and regulations.

Warning: If you knowingly make a false statement on this form, you may be subject to civil or criminal penalties under Section 1001 of Title 18 of the United States Code. In addition, any person who knowingly and materially violates any required disclosure of information, including intentional disclosure, is subject to a civil money penalty not to exceed \$10,000 for each violation.

ONE YEAR PLAN & ANNUAL PERFORMANCE REPORT

SECTION 2: HOUSING NEEDS

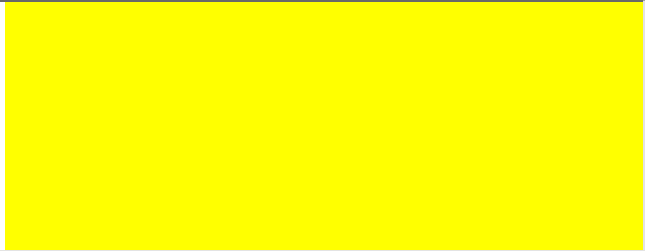
NAHASDA § 102(b)(2)(B)

(1) Type of Need: Check the appropriate box(es) below to describe the estimated types of housing needs and the need for other assistance for low-income Indian families (column B) and all Indian families (column C) inside and outside the jurisdiction.

(A) Type of Need	Check All That Apply	
	(B) Low-Income Indian Families	(C) All Indian Families
(1) Overcrowded Households	<input checked="" type="checkbox"/>	<input type="checkbox"/>
(2) Renters Who Wish to Become Owners	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
(3) Substandard Units Needing Rehabilitation	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
(4) Homeless Households	<input checked="" type="checkbox"/>	<input type="checkbox"/>
(5) Households Needing Affordable Rental Units	<input checked="" type="checkbox"/>	<input type="checkbox"/>
(6) College Student Housing	<input type="checkbox"/>	<input type="checkbox"/>
(7) Disabled Households Needing Accessibility	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
(8) Units Needing Energy Efficiency Upgrades	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
(9) Infrastructure to Support Housing	<input type="checkbox"/>	<input type="checkbox"/>
(10) Other (specify below)	<input checked="" type="checkbox"/>	<input type="checkbox"/>

(2) Other Needs. (Describe the "Other" needs below. Note: this text is optional for all needs except "Other."):

1. Homebuyer and Home Financing Education
2. Maintenance Counseling
3. Family Self Sufficiency Support
4. Green Living Education
5. Home Improvement Program Referrals
6. Home Financing Program Assistance
7. Crime Prevention Services to Children



(3) Planned Program Benefits. *(Describe below how your planned programs and activities will address the needs of low income families identified above. Also describe how your planned programs will address the various types of housing assistance needs. NAHASDA § 102(b)(2)(B)):*

By planning sustainable/efficient supportive and affordable rental housing and by administering the Tenant Assistance Program for eligible participants, the AHA plans to alleviate overcrowding, homelessness, households needing affordable rental housing, and encouraging green living standards for our service population. By providing outreach, education and support for homeownership financing options and opportunities, the AHA will alleviate needs pertaining to substandard housing rehabilitation, disabled households needing accessibility, units needing energy efficiency upgrades, and promoting green living standards. By planning comprehensive, creative and appropriate educational workshops and outreach sessions, AHA will address "other needs" numbers 1-6. By supporting the Akwesasne Boys & Girls Club program through financial assistance, AHA will alleviate crime prevention on the St. Regis Mohawk Reservation.

(4) Geographic Distribution. *(Describe below how the assistance will be distributed throughout the geographic area and how this geographic distribution is consistent with the needs of low income families. NAHASDA § 102(b)(2)(B)(i)):*

Within the boundaries of the St. Regis Mohawk Reservation the AHA will assist residents within this service area with: (a) homeownership financing opportunities and education; (b) tenant assistance; (c) planning of affordable rental housing programs; (d) educational and supportive services; (e) assistance with youth crime prevention; and (f) referral and informational services. Within the balances of Franklin and throughout St. Lawrence Counties, the AHA will assist tribal members with this service area with: (a) tenant assistance; (b) educational and supportive services; (c) assistance with you crime prevention; and (d) referral and informational services.

SECTION 3: PROGRAM DESCRIPTIONS

[102(b)(2)(A)], [233(a)], [235(c)], [404(b)], 24 CFR §1000.512(b)(2) and (3)

Planning and Reporting Program Year Activities

In this section, the recipient must provide a description of its planned eligible activities, and intended outcomes and outputs for the One-Year IHP. The recipient can select any combination of activities eligible under NAHASDA and intended outcomes and outputs that are based on local needs and priorities. There is no maximum or minimum number of eligible activities or intended outcomes and outputs. Rather, the One-Year IHP should include a sufficient number of eligible activities and intended outcomes to fully describe any tasks that the recipient intends to fund in whole or in part with IHBG resources during the coming program year.

Subtitle B of NAHASDA authorizes recipients to establish a program for self-determined housing activities involving construction, acquisition, rehabilitation, or infrastructure relating to housing activities or housing that will benefit the low-income households served by the Indian tribe. A recipient may use up to 20 percent of its annual allocation, but not more than \$2 Million, for this program. Section 233(a) of NAHASDA requires a recipient to include its planned self-determination program activities in the IHP, and Section 235(c) requires the recipient to report the expenditures, outputs, and outcomes for its self-determination program in the APR. For more information, see PIH Notice 2010-35 (Demonstration Program - Self-Determined Housing Activities for Tribal Governments) at http://portal.hud.gov/hudportal/documents/huddoc?id=DOC_8814.pdf

The One-Year IHP is not required to include eligible activities or intended outcomes and outputs that will not receive IHBG resources. For example, the recipient may be planning to apply for Low Income Housing Tax Credits (LIHTC) from its state. If those tax credit projects will not receive IHBG resources, they are not required to be described in the IHP. However, the recipient may wish to include non-IHBG activities in the IHP to provide tribal members with a more complete picture of housing activities.

If an activity will receive partial funding from an IHBG resource, it must be described in the IHP.

For example, if the recipient uses IHBG-funded staff persons to manage, inspect, or maintain an LIHTC-funded rental project, that project would be considered an IHBG-assisted project and the related activities must be described in the IHP.

Planning and Administrative expenses and loan repayments should not be identified as programs in the IHP. That is why there are dedicated rows in the Uses of Funding budget for these expenses. Instead, describe anticipated planning and administrative expenses in Section 6, Line 4 of the IHP, and describe actual planning and administration expenses in Section 6, Line 5 of the APR. Report the planned and actual amount of planning and administrative expenses in the dedicated row of the Uses of Funding budget (Section 5, Line 2). Please note that Reserve Accounts to support planning and administration is an eligible activity and should be identified as a program in the IHP, and any planned or actual expenditure from the Reserve Account would be reported by its program name in the Uses of Funding table.

With regard to loan repayments made with IHBG funds, describe planned loan repayments in Section 5, Line 4 of the IHP, and describe actual loan repayments in Section 5, Line 5 of the APR. Report the planned and actual amount of loan repayments in the dedicated row of the Uses of

Funding budget (Section 5, Line 2), except as noted in the following instructions for Column O in the Uses of Funding table. Column O should show the IHBG funds that were expended in the previous 12-month program year. If the recipient borrowed and repaid a loan or a portion of a loan in the same year using IHBG funds, show the repayment of the principal amount in the IHBG program line in the Uses of Funding table and report loan interest payments and loan expenses in the Loan Repayment line in the Uses of Funding table. The Administrative and Planning spending cap must be based on the actual expenditures incurred during the 12-month period, and not on the amount shown in the IHP. These expenditures should be reported on the Planning and Administration row. The total amount of IHBG funds expended cannot exceed the total amount in Column H, Row 1 of Line 1 (Sources of Funding table).

For the IHP, complete the **unshaded** sections to describe the planned activities, outcomes and outputs in the coming 12-month program year. The recipient must complete Lines 1.1 through 1.4, Lines 1.6 and 1.7, and Line 1.9 for each eligible activity or program planned for the One-Year IHP. For the APR, complete the shaded sections to describe actual accomplishments, outcomes, and outputs for the previous 12-month program year. In particular, complete Lines 1.5, 1.8, 1.9, and 1.10 for each program included in the IHP.

Eligible Activity May Include (*citations below all reference sections in NAHASDA*):

Eligible Activity	Output Measure	Output Completion
(1) Modernization of 1937 Act Housing [202(1)]	Units	All work completed and unit passed final inspection
(2) Operation of 1937 Act Housing [202(1)]	Units	Number of units in inventory at Program Year End (PYE)
(3) Acquisition of Rental Housing [202(2)]	Units	When recipient takes title to the unit
(4) Construction of Rental Housing [202(2)]	Units	All work completed and unit passed final inspection
(5) Rehabilitation of Rental Housing [202(2)]	Units	All work completed and unit passed final inspection
(6) Acquisition of Land for Rental Housing Development [202(2)]	Acres	When recipient takes title to the land
(7) Development of Emergency Shelters [202(2)]	Households	Number of households served at any one time, based on capacity of the shelter
(8) Conversion of Other Structures to Affordable Housing [202(2)]	Units	All work completed and unit passed final inspection
(9) Other Rental Housing Development [202(2)]	Units	All work completed and unit passed final inspection
(10) Acquisition of Land for Homebuyer Unit Development [202(2)]	Acres	When recipient takes title to the land
(11) New Construction of Homebuyer Units [202(2)]	Units	All work completed and unit passed final inspection
(12) Acquisition of Homebuyer Units [202(2)]	Units	When recipient takes title to the unit

(13) Down Payment/Closing Cost Assistance [202(2)]	Units	When binding commitment signed
(14) Lending Subsidies for Homebuyers (Loan) [202(2)]	Units	When binding commitment signed
(15) Other Homebuyer Assistance Activities [202(2)]	Units	When binding commitment signed
(16) Rehabilitation Assistance to Existing Homeowners [202(2)]	Units	All work completed and unit passed final inspection
(17) Tenant Based Rental Assistance [202(3)]	Households	Count each household once per year
(18) Other Housing Service [202(3)]	Households	Count each household once per year
(19) Housing Management Services [202(4)]	Households	Count each household once per year
(20) Operation and Maintenance of NAHASDA-Assisted Units [202(4)]	Units	Number of units in inventory at PYE
(21) Crime Prevention and Safety [202(5)]	Dollars	Dollars spent (report in Uses of Funding table only)
(22) Model Activities [202(6)]	Dollars	Dollars spent (report in Uses of Funding table only)
(23) Self-Determination Program [231-235]		
Acquisition	Units	When recipient takes title to the unit
Construction	Units	All work completed and unit passed final inspection
Rehabilitation	Units	All work completed and unit passed final inspection
Infrastructure	Dollars	Dollars spent (report in Uses of Funding table only)
(24) Infrastructure to Support Housing [202(2)]	Dollars	Dollars spent (report in Uses of Funding table only)
(25) Reserve Accounts [202(9)]	N/A	N/A

Outcome May Include:

(1) Reduce over-crowding	(7) Create new affordable rental units
(2) Assist renters to become homeowners	(8) Assist affordable housing for college students
(3) Improve quality of substandard units	(9) Provide accessibility for disabled/elderly persons
(4) Improve quality of existing infrastructure	(10) Improve energy efficiency
(5) Address homelessness	(11) Reduction in crime reports
(6) Assist affordable housing for low income households	(12) Other – must provide description in boxes 1.4 (IHP) and 1.5 (APR) below

IHP: PLANNED PROGRAM YEAR ACTIVITIES (NAHASDA § 102(b)(2)(A))

For each planned activity, complete all the non-shaded sections below. It is recommended that for each program name you assign a unique identifier to help distinguish individual programs. This unique number can be any number of your choosing, but it should be simple and clear so that you and HUD can track tasks and results under the program and collect appropriate file documentation tied to this program.

- One way to number your programs is chronologically. For example, you could number your programs 2011-1,

2011-2, 2011-3 etc.

- Or, you may wish to number the programs based on type. For example rental 1, rental 2, homebuyer1, homebuyer 2 etc. This type of numbering system might be appropriate if you have many programs that last over several years.
- Finally, you may wish to use an outline style of numbering. For example, all programs under your first eligible activity would start with the number 1 and then be consecutively numbered as 1.1, 1.2, 1.3 etc.

APR: REPORTING ON PROGRAM YEAR PROGRESS (NAHASDA § 404(b))

Complete the shaded section of text below to describe your completed program tasks and actual results. Only report on activities completed during the 12-month program year. Financial data should be presented using the same basis of accounting as the Schedule of Expenditures of Federal Awards (SEFA) in the annual OMB Circular A-133 audit. For unit accomplishments, only count units when the unit was completed and occupied during the year. For households, only count the household if it received the assistance during the previous 12-month program year.

<p>1.1. Program Name and Unique Identifier:</p>	<p>2016-1: CAS Management (Operating)</p>
<p>1.2. Program Description <i>(This should be the description of the planned program.):</i></p> <p>This program is designed to preserve and maintain the housing stock of the AHA constructed under the Housing Act of 1937. The AHA will ensure the viability of Mutual-help and Low Rent housing units through regular inspections, work order follow through and continued enforcement of program policies. This program will provide salaries and fringes for repairs, materials costs for planned repairs and operational costs associated with the maintenance of 1937 Act units.</p>	
<p>1.3. Eligible Activity Number <i>(Select one activity from the Eligible Activity list. Do not combine homeownership and rental housing in one activity, so that when housing units are reported in the APR they are correctly identified as homeownership or rental.):</i></p>	<p>2</p>
<p>1.4. Intended Outcome Number <i>(Select one outcome from the Outcome list. Each program can have only one outcome. If more than one outcome applies, create a separate program for each outcome.):</i></p>	<p>3</p>
<p>Describe Other Intended Outcome (Only if you selected "Other" above):</p>	
<p>1.5. Actual Outcome Number <i>(In the APR identify the actual outcome from the Outcome list.):</i></p>	
<p>Describe Other Actual Outcome (Only if you selected "Other" in above):</p>	
<p>1.6. Who Will Be Assisted <i>(Describe the types of households that will be assisted under the program. Please note: assistance made available to families whose incomes fall within 80 to 100 percent of the median should be included as a <u>separate</u> program within this section.):</i></p>	
<p>Low Income Mutual-help and Low Rent Participants and their families.</p>	
<p>1.7. Types and Level of Assistance <i>(Describe the types and the level of assistance that will be provided to each household, as applicable.):</i></p>	

Households will receive counseling and maintenance remediation when problem areas are identified through regular inspections. These instances will be tracked through AHA's HDS work order system and complaints monitoring will follow through to completion whether it be direct action by the AHA or stricter enforcement of tenant policies. Board of Commissioners will regularly meet and make decisions based on facts presented with the interest of preserving all 1937 Act housing stock.

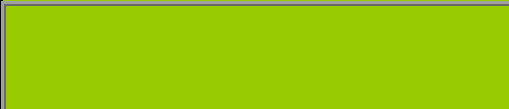
1.8. APR: Describe the accomplishments for the APR in the 12-month program year. In accordance with 24 CFR § 1000.512(b)(3), provide an analysis and explanation of cost overruns or high unit costs.



1.9: Planned and Actual Outputs for 12-Month Program Year

Planned Number of Units to be Completed in Year Under this Program	Planned Number of Households To Be Served in Year Under this Program	Planned Number of Acres To Be Purchased in Year Under this Program
142		
APR: Actual Number of Units Completed in Program Year	APR: Actual Number of Households Served in Program Year	APR: Actual Number of Acres Purchased in Program Year
142		

1.10: APR: If the program is behind schedule, explain why. (24 CFR § 1000.512(b)(2))



2.1. Program Name and Unique Identifier:	2016-2: AHA Supportive Housing for Special Needs
2.2. Program Description <i>(This should be the description of the planned program.):</i>	
<p>Considered the third expansion of the Sunrise Acres Complex, the AHA's Supportive Housing for Special Needs Program will serve Native American veterans and frail elderly tribal members with priority given to homelessness. The AHA is in the latter stages of planning and leveraging for two separate facilities that will integrate low income housing with supportive services for eligible low income Native Americans. This project will encompass partnerships with several local and regional service providers to benefit residents with programs addressing their individual needs, which will be formalized by housing services agreements that will enhance the lifestyles of the residents. Other sources of funds to be leveraged include Homeless Housing and HOME funds from the State of New York, a resource never before obtained by a Tribal Nation in New York</p>	
2.3. Eligible Activity Number <i>(Select one activity from the Eligible Activity list. Do not combine homeownership and rental housing in one activity, so that when housing units are reported in the APR they are correctly identified as homeownership or rental.):</i>	4
2.4. Intended Outcome Number <i>(Select one outcome from the Outcome list. Each program can have only one outcome. If more than one outcome applies, create a separate program for each outcome.):</i>	7
Describe Other Intended Outcome (Only if you selected "Other" above):	
2.5. Actual Outcome Number <i>(In the APR identify the actual outcome from the Outcome list.):</i>	
Describe Other Actual Outcome (Only if you selected "Other" above):	
2.6. Who Will Be Assisted <i>(Describe the types of households that will be assisted under the program. Please note: assistance made available to families whose incomes fall within 80 to 100 percent of the median should be included as a <u>separate</u> program within this section.):</i>	
Low income Native American veterans and seniors with a preference for homelessness.	
2.7. Types and Level of Assistance <i>(Describe the types and the level of assistance that will be provided to each household, as applicable.):</i>	
The level of assistance for two of the six veterans units will be for 30-50% AMI, with four units no more than 80% AMI. Two of the twelve senior units will be for 30-50% AMI, with the remaining ten for units no more than 80% AMI. The AHA will apply for project based vouchers to fund and enhance the support services to residents.	
2.8. APR: <i>Describe the accomplishments for the APR in the 12-month program year.</i>	

2.9: Planned and Actual Outputs for 12-Month Program Year

Planned Number of Units to be Completed in Year Under this Program	Planned Number of Households To Be Served in Year Under this Program	Planned Number of Acres To Be Purchased in Year Under this Program
18		
APR: Actual Number of Units Completed in Program Year	APR: Actual Number of Households Served in Program Year	APR: Actual Number of Acres Purchased in Program Year
18		

2.10: APR: *If the program is behind schedule, explain why. (24 CFR § 1000.512(b)(2))*

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3.1. Program Name and Unique Identifier:	2016-3: AHA Mold Remediation Program
3.2. Program Description <i>(This should be the description of the planned program.):</i>	
This program is designed to be leveraged with an anticipated HUD mold grant to provide mold prevention and remediation on HUD-assisted homes that have been identified to have mold infestation within the home or conditions around the home that may cause continued deterioration without assistance. A five year participatory agreement will provide the mechanism for an eligible household as a condition of assistance. This allocation will be contingent upon an ICDBG award.	
3.3. Eligible Activity Number <i>(Select one activity from the Eligible Activity list. Do not combine homeownership and rental housing in one activity, so that when housing units are reported in the APR they are correctly identified as homeownership or rental.):</i>	1
3.4. Intended Outcome Number <i>(Select one outcome from the Outcome list. Each program can have only one outcome. If more than one outcome applies, create a separate program for each outcome.):</i>	3
Describe Other Intended Outcome (Only if you selected "Other" above):	
3.5. Actual Outcome Number <i>(In the APR identify the actual outcome from the Outcome list.):</i>	
Describe Other Actual Outcome (Only if you selected "Other" above):	
3.6. Who Will Be Assisted <i>(Describe the types of households that will be assisted under the program. Please note: assistance made available to families whose incomes fall within 80 to 100 percent of the median should be included as a <u>separate</u> program within this section.):</i>	
Eligible 1937 Act and NAHASDA-assisted households.	
3.7. Types and Level of Assistance <i>(Describe the types and the level of assistance that will be provided to each household, as applicable.):</i>	
Assistance will be provided to current or past HUD-assisted households who fall within the income guidelines for a low income household.	
3.8. APR: <i>Describe the accomplishments for the APR in the 12-month program year.</i>	
3.9: Planned and Actual Outputs for 12-Month Program Year	

Planned Number of Units to be Completed in Year Under this Program	Planned Number of Households To Be Served in Year Under this Program	Planned Number of Acres To Be Purchased in Year Under this Program
200		
APR: Actual Number of Units Completed in Program Year	APR: Actual Number of Households Served in Program Year	APR: Actual Number of Acres Purchased in Program Year
200		

3.10: APR: *If the program is behind schedule, explain why. (24 CFR § 1000.512(b)(2))*

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4.1. Program Name and Unique Identifier:	2016-4: AHA Tenant Assistance Program
4.2. Program Description <i>(This should be the description of the planned program.):</i>	
<p>The Tenant Assistance Program is a short term (2 year limit) rental subsidy program designed with a built in family self-sufficiency component. Families will learn to plan for a life goal that is consistent with the program mission, and will be administered through scheduled one-on-one meetings with the Housing Services Advocate and participate in regular classes planned to meet their goals. Active participation is required by the recipient of such assistance in order to remain in the program.</p>	
4.3. Eligible Activity Number <i>(Select one activity from the Eligible Activity list. Do not combine homeownership and rental housing in one activity, so that when housing units are reported in the APR they are correctly identified as homeownership or rental.):</i>	17
4.4. Intended Outcome Number <i>(Select one outcome from the Outcome list. Each program can have only one outcome. If more than one outcome applies, create a separate program for each outcome.):</i>	6
Describe Other Intended Outcome (Only if you selected "Other" above):	
4.5. Actual Outcome Number <i>(In the APR identify the actual outcome from the Outcome list.):</i>	
Describe Other Actual Outcome (Only if you selected "Other" above):	
4.6. Who Will Be Assisted <i>(Describe the types of households that will be assisted under the program. Please note: assistance made available to families whose incomes fall within 80 to 100 percent of the median should be included as a <u>separate</u> program within this section.):</i>	
Low Income Eligible Families	
4.7. Types and Level of Assistance <i>(Describe the types and the level of assistance that will be provided to each household, as applicable.):</i>	
Rental subsidies will be provided to active participants who remain in compliance with program rules. 100% of rents will be paid for the first year of assistance and a sliding scale for the second year will be used to complete the program and self-sufficiency goals for the eligible families.	
4.8. APR: <i>Describe the accomplishments for the APR in the 12-month program year.</i>	
4.9: Planned and Actual Outputs for 12-Month Program Year	

Planned Number of Units to be Completed in Year Under this Program	Planned Number of Households To Be Served in Year Under this Program	Planned Number of Acres To Be Purchased in Year Under this Program
	25	
APR: Actual Number of Units Completed in Program Year	APR: Actual Number of Households Served in Program Year	APR: Actual Number of Acres Purchased in Program Year
	25	

4.10: APR: *If the program is behind schedule, explain why. (24 CFR § 1000.512(b)(2))*

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5.1. Program Name and Unique Identifier:	2016-5: Other Housing Services - Akwesasne Boys & Girls Club
5.2. Program Description <i>(This should be the description of the planned program.):</i>	
The AHA will enter into an assistance agreement with the Akwesasne Boys & Girls Club for the program year. This assistance will directly benefit low income children and families who are active members of the Club. This activity will serve to promote safety and education as an alternative to crime related mischief related to youths.	
5.3. Eligible Activity Number <i>(Select one activity from the Eligible Activity list. Do not combine homeownership and rental housing in one activity, so that when housing units are reported in the APR they are correctly identified as homeownership or rental.):</i>	18
5.4. Intended Outcome Number <i>(Select one outcome from the Outcome list. Each program can have only one outcome. If more than one outcome applies, create a separate program for each outcome.):</i>	11
Describe Other Intended Outcome (Only if you selected "Other" above): 	
5.5. Actual Outcome Number <i>(In the APR identify the actual outcome from the Outcome list.):</i>	
Describe Other Actual Outcome (Only if you selected "Other" above): 	
5.6. Who Will Be Assisted <i>(Describe the types of households that will be assisted under the program. Please note: assistance made available to families whose incomes fall within 80 to 100 percent of the median should be included as a <u>separate</u> program within this section.):</i>	
Low income children and their families.	
5.7. Types and Level of Assistance <i>(Describe the types and the level of assistance that will be provided to each household, as applicable.):</i> Important after school academic, cultural, health and physical education activities will be provided in a positive and safe environment to at least 300 member children and their families per year. Direct programming will be provided to the Club in the form of materials, supplies, and salaries for youth workers.	
5.8. APR: <i>Describe the accomplishments for the APR in the 12-month program year.</i> 	
5.9: Planned and Actual Outputs for 12-Month Program Year	

Planned Number of Units to be Completed in Year Under this Program	Planned Number of Households To Be Served in Year Under this Program	Planned Number of Acres To Be Purchased in Year Under this Program
	300	
APR: Actual Number of Units Completed in Program Year	APR: Actual Number of Households Served in Program Year	APR: Actual Number of Acres Purchased in Program Year

5.10: APR: *If the program is behind schedule, explain why. (24 CFR § 1000.512(b)(2))*

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6.1. Program Name and Unique Identifier:	2016-6: Housing Management Services
6.2. Program Description <i>(This should be the description of the planned program.):</i>	
<p>This program is designed to promote supportive services to all NAHASDA-assisted housing participants. The Housing Services Department will focus on conducting trainings throughout the year, along with partnering with tribal and outside organizations in providing these services. Participant agreement training and maintenance workshops will continue as needed. We will actively participate in the community's fire safety and educational programs, and will concentrate on individualized self-sufficiency plans, with an added focus on maintaining green and sustainable system amenities. Funding from HUD's Resident Opportunity Support Services (ROSS) grant called STEP (Support for Elderly Program) will hopefully be renewed with a 2016 award. This program will assist elderly residents with gracefully aging in place. If awarded, ROSS will cover salaries, fringes, administration and travel.</p>	
6.3. Eligible Activity Number <i>(Select one activity from the Eligible Activity list. Do not combine homeownership and rental housing in one activity, so that when housing units are reported in the APR they are correctly identified as homeownership or rental.):</i>	19
6.4. Intended Outcome Number <i>(Select one outcome from the Outcome list. Each program can have only one outcome. If more than one outcome applies, create a separate program for each outcome.):</i>	6
Describe Other Intended Outcome (Only if you selected "Other" above):	
6.5. Actual Outcome Number <i>(In the APR identify the actual outcome from the Outcome list.):</i>	
Describe Other Actual Outcome (Only if you selected "Other" above):	
6.6. Who Will Be Assisted <i>(Describe the types of households that will be assisted under the program. Please note: assistance made available to families whose incomes fall within 80 to 100 percent of the median should be included as a <u>separate</u> program within this section.):</i>	
Mutual-help, Low Rent, and Low Rent Elderly families in NAHASDA-assisted housing.	
6.7. Types and Level of Assistance <i>(Describe the types and the level of assistance that will be provided to each household, as applicable.):</i>	
Assistance provided will be support for programs and services to Mutual-help, Low Rent, and Low Rent Elderly families under NAHASDA-assisted and ROSS-assisted STEP programs of the AHA.	
6.8. APR: <i>Describe the accomplishments for the APR in the 12-month program year.</i>	
6.9: Planned and Actual Outputs for 12-Month Program Year	

Planned Number of Units to be Completed in Year Under this Program	Planned Number of Households To Be Served in Year Under this Program	Planned Number of Acres To Be Purchased in Year Under this Program
	145	
APR: Actual Number of Units Completed in Program Year	APR: Actual Number of Households Served in Program Year	APR: Actual Number of Acres Purchased in Program Year
	145	

6.10: APR: *If the program is behind schedule, explain why. (24 CFR § 1000.512(b)(2))*

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SECTION 4: MAINTAINING 1937 ACT UNITS, DEMOLITION, AND DISPOSITION

NAHASDA §§ 102(b)(2)(A)(v), 102(b)(2)(A)(iv)(I-III)

(1) Maintaining 1937 Act Units (NAHASDA § 102(b)(2)(A)(v)) *(Describe specifically how you will maintain and operate your 1937 Act housing units in order to ensure that these units will remain viable.):*

a The AHA will provide necessary routine and preventative maintenance to its existing 1937 Act elderly rentals in 2016 to ensure long term viability.

Participants in the Mutual-help Program will be expected to remain in compliance with their MHOAs and accept responsibility for maintaining their units.

Referrals to outside agencies for energy efficiency upgrades through low interest loans will continue to be encouraged in 2016.

(2) Demolition and Disposition (NAHASDA § 102(b)(2)(A)(iv)(I-III), 24 CFR 1000.134) Describe any planned demolition or sale of 1937 Act or NAHASDA-assisted housing units. If the recipient is planning on demolition or disposition of 1937 Act or NAHASDA-assisted housing units, be certain to include the timetable for any planned demolition or disposition and any other information that is required by HUD with respect to the demolition or disposition.

There are no plans for demolition or disposition of either 1937 Act or NAHASDA-assisted housing units in 2016.

SECTION 5: BUDGETS

NAHASDA §§ 102(b)(2)(C), 404(b)

(1) Sources of Funding (NAHASDA § 102(b)(2)(C)(i), (404(b)) *(Complete the **non-shaded** portions of the chart below to describe your estimated or anticipated sources of funding for the 12-month program year. **APR Actual Sources of Funding** -- Please complete the shaded portions of the chart below to describe your actual funds received. Only report on funds actually received and under a grant agreement or other binding commitment during the 12-month program year.)*

SOURCE	IHP					APR					
	(A) Estimated amount on hand at beginning of program year	(B) Estimated amount to be received during 12-month program year	(C) Estimated total sources of funds (A+B)	(D) Estimated funds to be expended during 12-month program year	(E) Estimated unexpended funds remaining at end of program year (C-D)	(F) Actual amount on hand at beginning of program year	(G) Actual amount received during 12-month program year	(H) Actual total sources of funding (F+G)	(I) Actual funds expended during 12-month program year	(J) Actual unexpended funds remaining at end of 12-month program year (H - I)	(K) Actual unexpended funds obligated but not expended at end of 12-month program year
1. IHBG Funds	\$2,364,639	\$2,163,477	\$4,528,116	\$2,452,340	\$2,075,775			\$0		\$0	
2. IHBG Program Income		\$306,906	\$306,906	\$306,906	\$0			\$0		\$0	
3. Title VI			\$0		\$0			\$0		\$0	
4. Title VI Program Income			\$0		\$0			\$0		\$0	
5. 1937 Act Operating Reserves			\$0		\$0			\$0		\$0	
6. Carry Over 1937 Act Funds			\$0		\$0			\$0		\$0	
LEVERAGED FUNDS											
7. ICDBG Funds			\$0		\$0			\$0		\$0	
8. Other Federal Funds			\$0		\$0			\$0		\$0	
9. LIHTC			\$0		\$0			\$0		\$0	

10. Non-Federal Funds	\$250,000		\$250,000	\$250,000	\$0			\$0		\$0	
TOTAL	\$2,614,639	\$2,470,383	\$5,085,022	\$3,009,246	\$2,075,775	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL Columns C & H, 2 through 10			\$556,906					\$0			

Notes:

- a. For the IHP, fill in columns A, B, C, D, and E (non-shaded columns). **For the APR, fill in columns F, G, H, I, J, and K (shaded columns).**
- b. Total of Column D should match the total of Column N from the **Uses of Funding table below.**
- c. **Total of Column I should match the Total of Column Q from the Uses of Funding table below.**
- d. For the IHP, describe any estimated leverage in Line 3 below (Estimated Sources or Uses of Funding). For the APR, describe actual leverage in Line 4 below.

(2) Uses of Funding (NAHASDA § 102(b)(2)(C)(ii)) (Note that the budget should not exceed the total funds on hand (Column C) and insert as many rows as needed to include all the programs identified in Section 3. **Actual expenditures in the APR section are for the 12-month program year.**)

PROGRAM NAME	IHP			APR		
	(L) Prior and current year IHBG (only) funds to be expended in 12-month program year	(M) Total all other funds to be expended in 12-month program year	(N) Total funds to be expended in 12-month program year (L+M)	(O) Total IHBG (only) funds expended in 12-month program year	(P) Total all other funds expended in 12-month program year	(Q) Total funds expended in 12-month program year (O+P)
2016-1: CAS Management (Operating)	\$557,417	\$0	\$557,417			\$0
2016-2: AHA Supportive Housing for Special Needs	\$972,035	\$250,000	\$1,222,035			\$0

2016-3: AHA Mold Remediation Program	\$250,000	\$0	\$250,000			\$0
2016-4: AHA Tenant Assistance Program	\$155,000	\$0	\$155,000			\$0
2016-5: Other Housing Services - Akwesasne Boys & Girls Club	\$50,000	\$0	\$50,000			\$0
2016-6: Housing Management Services	\$157,790	\$0	\$157,790			\$0
Planning and Administration	\$310,098	\$306,906	\$617,004			\$0
Loan repayment - describe in 3 & 4 below			\$0			\$0
TOTAL	\$2,452,340	\$556,906	\$3,009,246	\$0	\$0	\$0

Notes:

- a. Total of Column L cannot exceed the IHBG funds from Column C, Row 1 from the Sources of Funding table in Line 1 above.
- b. Total of Column M cannot exceed the total from Column C, Rows 2-10 from the Sources of Funding table in Line 1 above.
- c. Total of Column O cannot exceed total IHBG funds received in Column H, Row 1 from the Sources of Funding table in Line 1 above.
- d. Total of Column P cannot exceed total of Column H, Rows 2-10 of the Sources of Funding table in Line 1 above.
- e. Total of Column Q should equal total of Column I of the Sources of Funding table in Line 1 above.

(3) Estimated Sources or Uses of Funding (NAHASDA § 102(b)(2)(C)). *(Provide any additional information about the estimated sources or uses of funding, including leverage (if any). You must provide the relevant information for any planned loan repayment listed in the Uses of Funding table on the previous page. This planned loan repayment can be associated with Title VI or with private or tribal funding that is used for an eligible activity described in an IHP that has been determined to be in compliance by HUD. The text must describe which specific loan is planned to be repaid and the NAHASDA-eligible activity and program associated with this loan):*

Program income generated from NAHASDA-assisted units is a source of income the AHA will utilize to meet its operating expenses. Several grant-specific funding sources may generated awards in 2016 but they have not yet been awarded. These sources will be utilized to carry out part of the 2016 eligible activities under a new supportive housing initiative, contingent on funding.

(4) APR (NAHASDA § 404(b)) *(Enter any additional information about the actual sources or uses of funding, including leverage (if any). You must provide the relevant information for any actual loan repayment listed in the Uses of Funding table on the previous page. The text must describe which loan was repaid and the NAHASDA-eligible activity and program associated with this loan.):*

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SECTION 5: BUDGETS

NAHASDA §§ 102(b)(2)(C), 404(b)

(1) Sources of Funding (NAHASDA § 102(b)(2)(C)(i), (404(b)) (Complete the **non-shaded** portions of the chart below to describe your estimated or anticipated sources of funding for the 12-month program year. **APR Actual Sources of Funding -- Please complete the shaded portions of the chart below to describe your actual funds received. Only report on funds actually received and under a grant agreement or other binding commitment during the 12-month program year.)**

SOURCE	IHP					APR					
	(A) Estimated amount on hand at beginning of program year	(B) Estimated amount to be received during 12-month program year	(C) Estimated total sources of funds (A+B)	(D) Estimated funds to be expended during 12-month program year	(E) Estimated unexpended funds remaining at end of program year (C-D)	(F) Actual amount on hand at beginning of program year	(G) Actual amount received during 12-month program year	(H) Actual total sources of funding (F+G)	(I) Actual funds expended during 12-month program year	(J) Actual unexpended funds remaining at end of 12-month program year (H - I)	(K) Actual unexpended funds obligated but not expended at end of 12-month program year
1. IHBG Funds	\$2,364,639	\$2,163,477	\$4,528,116	\$2,452,340	\$2,075,775			\$0		\$0	
2. IHBG Program Income		\$306,906	\$306,906	\$306,906	\$0			\$0		\$0	
3. Title VI			\$0		\$0			\$0		\$0	
4. Title VI Program Income			\$0		\$0			\$0		\$0	
5. 1937 Act Operating Reserves			\$0		\$0			\$0		\$0	
6. Carry Over 1937 Act Funds			\$0		\$0			\$0		\$0	
LEVERAGED FUNDS											
7. ICDBG Funds			\$0		\$0			\$0		\$0	
8. Other Federal Funds			\$0		\$0			\$0		\$0	
9. LIHTC			\$0		\$0			\$0		\$0	

10. Non-Federal Funds	\$250,000		\$250,000	\$250,000	\$0			\$0		\$0	
TOTAL	\$2,614,639	\$2,470,383	\$5,085,022	\$3,009,246	\$2,075,775	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL Columns C & H, 2 through 10			\$556,906					\$0			

Notes:

a. For the IHP, fill in columns A, B, C, D, and E (non-shaded columns). **For the APR, fill in columns F, G, H, I, J, and K (shaded columns).**

b. Total of Column D should match the total of Column N from the **Uses of Funding table below.**

c. **Total of Column I should match the Total of Column Q from the Uses of Funding table below.**

d. For the IHP, describe any estimated leverage in Line 3 below (Estimated Sources or Uses of Funding). For the APR, describe actual leverage in Line 4 below.

(2) Uses of Funding (NAHASDA § 102(b)(2)(C)(ii)) (Note that the budget should not exceed the total funds on hand (Column C) and insert as many rows as needed to include all the programs identified in Section 3. **Actual expenditures in the APR section are for the 12-month program year.**)

PROGRAM NAME	IHP			APR		
	(L)	(M)	(N)	(O)	(P)	(Q)
	Prior and current year IHBG (only) funds to be expended in 12-month program year	Total all other funds to be expended in 12-month program year	Total funds to be expended in 12-month program year (L+M)	Total IHBG (only) funds expended in 12-month program year	Total all other funds expended in 12-month program year	Total funds expended in 12-month program year (O+P)
2016-1: CAS Management (Operating)	\$557,417	\$0	\$557,417			\$0
2016-2: AHA Supportive Housing for Special Needs	\$972,035	\$250,000	\$1,222,035			\$0

2016-3: AHA Mold Remediation Program	\$250,000	\$0	\$250,000			\$0
2016-4: AHA Tenant Assistance Program	\$155,000	\$0	\$155,000			\$0
2016-5: Other Housing Services - Akwesasne Boys & Girls Club	\$50,000	\$0	\$50,000			\$0
2016-6: Housing Management Services	\$157,790	\$0	\$157,790			\$0
Planning and Administration	\$310,098	\$306,906	\$617,004			\$0
Loan repayment - describe in 3 & 4 below			\$0			\$0
TOTAL	\$2,452,340	\$556,906	\$3,009,246	\$0	\$0	\$0

Notes:

- a. Total of Column L cannot exceed the IHBG funds from Column C, Row 1 from the Sources of Funding table in Line 1 above.
- b. Total of Column M cannot exceed the total from Column C, Rows 2-10 from the Sources of Funding table in Line 1 above.
- c. Total of Column O cannot exceed total IHBG funds received in Column H, Row 1 from the Sources of Funding table in Line 1 above.
- d. Total of Column P cannot exceed total of Column H, Rows 2-10 of the Sources of Funding table in Line 1 above.
- e. Total of Column Q should equal total of Column I of the Sources of Funding table in Line 1 above.

(3) Estimated Sources or Uses of Funding (NAHASDA § 102(b)(2)(C)). *(Provide any additional information about the estimated sources or uses of funding, including leverage (if any). You must provide the relevant information for any planned loan repayment listed in the Uses of Funding table on the previous page. This planned loan repayment can be associated with Title VI or with private or tribal funding that is used for an eligible activity described in an IHP that has been determined to be in compliance by HUD. The text must describe which specific loan is planned to be repaid and the NAHASDA-eligible activity and program associated with this loan):*

Program income generated from NAHASDA-assisted units is a source of income the AHA will utilize to meet its operating expenses. Several grant-specific funding sources may generated awards in 2016 but they have not yet been awarded. These sources will be utilized to carry out part of the 2016 eligible activities under a new supportive housing initiative, contingent on funding.

Add Bullet

(4) APR (NAHASDA § 404(b)) *(Enter any additional information about the actual sources or uses of funding, including leverage (if any). You must provide the relevant information for any actual loan repayment listed in the Uses of Funding table on the previous page. The text must describe which loan was repaid and the NAHASDA-eligible activity and program associated with this loan.):*

N/A

Add Bullet

SECTION 6: OTHER SUBMISSION ITEMS

[102(b)(2)(C)(ii)], [201(b)(5)], [202(6)], [205(a)(2)], [209], 24 CFR §§ 1000.108, 1000.120, 1000.142, 1000.:

(1) Useful Life/Affordability Period(s) (NAHASDA § 205, 24 CFR § 1000.142) *(Describe your plan or system for determining the useful life/affordability period of the housing it assists with IHBG and/or Title VI funds must be provided in the IHP. A record of the current, specific useful life/affordability period for housing units assisted with IHBG and/or Title VI funds (excluding Mutual Help) must be maintained in the recipient's files and available for review for the useful life/affordability period.):*

NAHASDA-assisted homes constructed with IHBG funds have a useful life of twenty-five (25) years. Each property is subject to a rent to own agreement, similar to the Mutual-help & Occupancy Agreement, and will ensure an affordability period based on the amortization schedule (pro-rated recovery amount). The properties under these programs are considered "restricted fee" lands under the jurisdiction of the St. Regis Mohawk Tribe, and their laws and authorities. The binding commitments are the rent-to-own agreements in place coupled with the Tribal Quit Claim deeds which guarantee that if default were to occur, the AHA has the authority to transfer these lands to another eligible participant. If an event such as a foreclosure/eviction takes place, the AHA determines a renewed useful life based on any rehab that takes place prior to the move-in of the next eligible family. Inspections ensure useful life.

(2) Model Housing and Over-Income Activities (NAHASDA § 202(6), 24 CFR § 1000.108) *(If you wish to undertake a model housing activity or wish to serve non-low-income households during the 12-month program year, those activities may be described here, in the program description section of the 1-year plan, or as a separate submission.):*

No model activities or assistance to over income families are planned for the 2016 program year.

(3) Tribal and Other Indian Preference (NAHASDA § 201(b)(5), 24 CFR § 1000.120)

If preference will be given to tribal members or other Indian families, the preference policy must be described. This information may be provided here or in the program description section of the 1-year plan.

Does the Tribe have a preference policy? Yes No

If yes, describe the policy.

The St. Regis Mohawk Tribe and AHA provides preference to housing services, funded under NAHASDA to enrolled tribal members whose enrollment status can be verified. If there are no other enrolled St. Regis Mohawk Tribal members waiting for housing assistance, these units may be awarded to other Native Americans, whose enrollment can be verified.

(4) Anticipated Planning and Administration Expenses (NAHASDA § 102(b)(2)(C)(ii), 24 CFR § 1000.238)

Do you intend to exceed your allowable spending cap for Planning and Administration? Yes No

If yes, describe why the additional funds are needed for Planning and Administration. For a recipient administering funds from multiple grant beneficiaries with a mix of grant or expenditure amounts, for each beneficiary state the grant amount or expenditure amount, the cap percentage applied, and the actual dollar amount of the cap.



(5) Actual Planning and Administration Expenses (NAHASDA § 102(b)(2)(C)(ii), 24 CFR § 1000.238)

Did you exceed your spending cap for Planning and Administration?

Yes No

If yes, did you receive HUD approval to exceed the cap on Planning and Administration costs?

If you did not receive approval for exceeding your spending cap on planning and administration costs, describe the reason(s) for exceeding the cap. (See Section 6, Line 5 of the Guidance for information on carry-over of unspent planning and administration expenses.)

(6) Expanded Formula Area - Verification of Substantial Housing Services (24 CFR § 1000.302(3)) *If your tribe has an expanded formula area (i.e., an area that was justified based on housing services provided rather than the list of areas defined in 24 CFR § 1000.302 Formula Area (1)), the tribe must demonstrate that it is continuing to provide substantial housing services to that expanded formula area. Does the tribe have an expanded formula area?*

Yes No **If no, proceed to Section 7.**

If yes, list each separate geographic area that has been added to the Tribe's formula area and the documented number of Tribal members residing there.

For each separate formula area expansion, list the budgeted amount of IHBG and other funds to be provided to all American Indian and Alaska Native (AIAN) households and to only those AIAN households with incomes 80% of median income or lower during the recipient's 12-month program year:

Total Expenditures on Affordable Housing Activities for:		
	All AIAN Households	AIAN Households with Incomes 80% or Less of Median Income
IHBG Funds:		
Funds from Other Sources:		

(7) APR: If answered "Yes" in Line 6, for each separate formula area, list the actual amount of IHBG and other funds expended for all AIAN households and for only AIAN households with incomes 80% of median income or lower during the recipient's 12-month program year.

Total Expenditures on Affordable Housing Activities for:		
	All AIAN Households	AIAN Households with Incomes 80% or Less of Median Income
IHBG Funds:		
Funds from Other Sources:		

SECTION 7: INDIAN HOUSING PLAN CERTIFICATION OF COMPLIANCE

NAHASDA § 102(b)(2)(D)

By signing the IHP, the recipient certifies its compliance with Title II of the Civil Rights Act of 1968 (25 USC Part 1301 et seq.), and ensures that the recipient has all appropriate policies and procedures in place to operate its planned programs. The recipient should not assert that it has the appropriate policies and procedures in place if these documents do not exist in its files, as this will be one of the items verified during any HUD monitoring review.

(1) In accordance with applicable statutes, the recipient certifies that:

It will comply with Title II of the Civil Rights Act of 1968 in carrying out this Act, to the extent that such title is applicable, and other applicable federal statutes.

Yes No

(2) In accordance with 24 CFR 1000.328, the recipient receiving less than \$200,000 under FCAS certifies that:

There are households within its jurisdiction at or below 80 percent of median income.

Yes No Not Applicable

(3) The following certifications will only apply where applicable based on program activities.

a. It will maintain adequate insurance coverage for housing units that are owned and operated or assisted with grant amounts provided under NAHASDA, in compliance with such requirements as may be established by HUD;

Yes No Not Applicable

b. Policies are in effect and are available for review by HUD and the public governing the eligibility, admission, and occupancy of families for housing assisted with grant amounts provided under NAHASDA;

Yes No Not Applicable

c. Policies are in effect and are available for review by HUD and the public governing rents charged, including the methods by which such rents or homebuyer payments are determined, for housing assisted with grant amounts provided under NAHASDA; and

Yes No Not Applicable

d. Policies are in effect and are available for review by HUD and the public governing the management and maintenance of housing assisted with grant amounts provided under NAHASDA.

Yes No Not Applicable

SECTION 8: IHP TRIBAL CERTIFICATION

NAHASDA § 102(c)

This certification is used when a Tribally Designated Housing Entity (TDHE) prepares the IHP or IHP amendment on behalf of the Tribe. This certification must be executed by the recognized tribal government covered under the IHP.

(1) The recognized tribal government of the grant beneficiary certifies that:

(2) It had an opportunity to review the IHP or IHP amendment and has authorized the submission of the IHP by the TDHE; or

(3) It has delegated to such TDHE the authority to submit an IHP or IHP amendment on behalf of the Tribe without prior review by the Tribe.

(4) Tribe:	St. Regis Mohawk Tribe
(5) Authorized Official's Name and Title:	Tribal Chiefs Beverly Cook, Ron Lafrance, Jr., Eric Thompson
(6) Authorized Official's Signature:	Attached Certification
(7) Date (MM/DD/YYYY):	9/16/2015

SECTION 9: TRIBAL WAGE RATE CERTIFICATION

NAHASDA §§ 102(b)(2)(D)(vi), 104(b)

By signing the IHP, you certify whether you will use tribally determined wages, Davis-Bacon wages, or HUD determined wages. Check only the applicable box below.

- (1) You will use tribally determined wage rates when required for IHBG-assisted construction or maintenance activities. The Tribe has appropriate laws and regulations in place in order for it to determine and distribute prevailing wages.
- (2) You will use Davis-Bacon or HUD determined wage rates when required for IHBG-assisted construction or maintenance activities.
- (3) You will use Davis-Bacon and/or HUD determined wage rates when required for IHBG-assisted construction except for the activities described below.

(4) List the activities using tribally determined wage rates:

Force account construction of new homes for eligible tribal members, sub-contractors involving labor for such, and renovation projects under this grant will use tribally determined wage rates as adopted by the St. Regis Mohawk Tribe by TCR #2015-22. These rates are recertified annually by tribal council resolution.

SECTION 10: SELF-MONITORING

NAHASDA § 403(b), 24 CFR §§ 1000.26, 85.37, 85.40

(1) Do you have a procedure and/or policy for self-monitoring?

Yes No

(2) Pursuant to 24 CFR § 1000.502(b) where the recipient is a TDHE, did the TDHE provide periodic progress reports including the self-monitoring report, Annual Performance Report, and audit reports to the Tribe?

Yes No Not Applicable

(3) Did you conduct self-monitoring, including monitoring sub-recipients?

Yes No

(4) Self-Monitoring Results. *(Describe the results of the monitoring activities, including inspections for this program year.):*

The results of our self monitoring indicated overall we are in compliance with regulations. We have strengthened our workforce by providing opportunities for training and advancement in areas such as customer service and self monitoring. We have initiated new policies such as the Records and Information Management Policy to ensure proper file management. With inspections there have been areas where housing services have had to intervene and address issues of non compliance. AHA has utilized social programs when the non compliance were areas such as hoarding, flooding and general home maintenance. These programs work to encourage and empower our families for better care of their home and environment.

SECTION 11: INSPECTIONS

NAHASDA § 403(b)

(1) Inspection of Units (Use the table below to record the results of recurring inspections of assisted housing.)

Activity		Results of Inspections				Total number of units inspected
		Total Number of Units (Inventory)	Units in standard condition	Units needing rehabilitation	Units needing to be replaced	
(a)		(b)	(c)	(d)	(e)	(f)
1	1937 Housing Act Units:					
	a. Rental	67	59	0	0	59
	b. Homeownership	52	37	5	0	42
	c. Other	0	0	0	0	0
1937 Act Subtotal		119	96	5	0	101
2	NAHASDA assisted units:					
	a. Rental	20	20	0	0	20
	b. Homeownership	89	83	0	0	83
	c. Rental Assistance	0	0	0	0	0
	d. Other	0	0	0	0	0
NAHASDA Subtotal		109	103	0	0	103
Total		228	199	5	0	204

(2) Did you comply with your inspection policy:

Yes No

The AHA remains in compliance with its inspection policy with 90% of the housing stock inspected in 2016. Out of the 225 units, 21 have not been inspected for various reasons, including scheduling conflicts with busy families. These homes are being scheduled to be completed in 2017.

T
h

SECTION 12: AUDITS

24 CFR § 1000.544

This section is used to indicate whether an Office of Management and Budget Circular A-133 audit is required, based on a review of your financial records.

Did you expend \$500,000 or more in total Federal awards during the APR reporting period?

Yes No The 2016 audit is scheduled to be conducted in February, 2017 and will be forthcoming.

If Yes, an audit is required to be submitted to the Federal Audit Clearinghouse and your Area Office of Native American Programs.

If No, an audit is not required.

SECTION 13: PUBLIC AVAILABILITY

NAHASDA § 408, 24 CFR § 1000.518

(1). Did you make this APR available to the citizens in your jurisdiction before it was submitted to HUD (24 CFR § 1000.518)?

Yes No

(2) If you are a TDHE, did you submit this APR to the Tribe(s) (24 CFR § 1000.512)?

Yes No Not Applicable

(3) If you answered "No" to question #1 and/or #2, provide an explanation as to why not and indicate when you will do so.

(4) Summarize any comments received from the Tribe(s) and/or the citizens (NAHASDA § 404(d)).

No comments from Tribe or tribal members.

SECTION 14: JOBS SUPPORTED BY NAHASDA

NAHASDA § 404(b)

Use the table below to record the number of jobs supported with IHBG funds each year.

Indian Housing Block Grant Assistance (IHBG)	
(1) Number of Permanent Jobs Supported	21
(2) Number of Temporary Jobs Supported	1

(3) Narrative (optional):
<input type="text"/>

SECTION 15: IHP WAIVER REQUESTS

NAHASDA § 101(b)(2)

THIS SECTION IS ONLY REQUIRED IF THE RECIPIENT IS REQUESTING A WAIVER OF AN IHP SECTION OR A WAIVER OF THE IHP SUBMISSION DUE DATE.

A waiver is valid for a period not to exceed 90 days. Fill out the form below if you are requesting a waiver of one or more sections of the IHP. **NOTE:** This is NOT a waiver of the IHBG program requirements but rather a request to waive some of the IHP submission items.

(1) List below the sections of the IHP where you are requesting a waiver and/or a waiver of the IHP due date.

(List the requested waiver sections by name and section number):

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(2) Describe the reasons that you are requesting this waiver *(Describe completely why you are unable to complete a particular section of the IHP or could not submit the IHP by the required due date.):*

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(3) Describe the actions you will take in order to ensure that you are able to submit a complete IHP in the future and/or submit the IHP by the required due date. *(This section should completely describe the procedural, staffing or technical corrections that you will make in order to submit a complete IHP in the future and/or submit the IHP by the required due date.):*

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(4) Recipient:

(5) Authorized Official's Name and Title:

(6) Authorized Official's Signature:

(7) Date (MM/DD/YYYY):

SECTION 3: PROGRAM DESCRIPTIONS

[102(b)(2)(A)], [233(a)], [235(c)], [404(b)], 24 CFR §1000.512(b)(2) and (3)

Planning and Reporting Program Year Activities

In this section, the recipient must provide a description of its planned eligible activities, and intended outcomes and outputs for the One-Year IHP. The recipient can select any combination of activities eligible under NAHASDA and intended outcomes and outputs that are based on local needs and priorities. There is no maximum or minimum number of eligible activities or intended outcomes and outputs. Rather, the One-Year IHP should include a sufficient number of eligible activities and intended outcomes to fully describe any tasks that the recipient intends to fund in whole or in part with IHBG resources during the coming program year.

Subtitle B of NAHASDA authorizes recipients to establish a program for self-determined housing activities involving construction, acquisition, rehabilitation, or infrastructure relating to housing activities or housing that will benefit the low-income households served by the Indian tribe. A recipient may use up to 20 percent of its annual allocation, but not more than \$2 Million, for this program. Section 233(a) of NAHASDA requires a recipient to include its planned self-determination program activities in the IHP, and Section 235(c) requires the recipient to report the expenditures, outputs, and outcomes for its self-determination program in the APR. For more information, see PIH Notice 2010-35 (Demonstration Program - Self-Determined Housing Activities for Tribal Governments) at http://portal.hud.gov/hudportal/documents/huddoc?id=DOC_8814.pdf

The One-Year IHP is not required to include eligible activities or intended outcomes and outputs that will not receive IHBG resources. For example, the recipient may be planning to apply for Low Income Housing Tax Credits (LIHTC) from its state. If those tax credit projects will not receive IHBG resources, they are not required to be described in the IHP. However, the recipient may wish to include non-IHBG activities in the IHP to provide tribal members with a more complete picture of housing activities.

If an activity will receive partial funding from an IHBG resource, it must be described in the IHP.

For example, if the recipient uses IHBG-funded staff persons to manage, inspect, or maintain an LIHTC-funded rental project, that project would be considered an IHBG-assisted project and the related activities must be described in the IHP.

Planning and Administrative expenses and loan repayments should not be identified as programs in the IHP. That is why there are dedicated rows in the Uses of Funding budget for these expenses. Instead, describe anticipated planning and administrative expenses in Section 6, Line 4 of the IHP, and describe actual planning and administration expenses in Section 6, Line 5 of the APR. Report the planned and actual amount of planning and administrative expenses in the dedicated row of the Uses of Funding budget (Section 5, Line 2). Please note that Reserve Accounts to support planning and administration is an eligible activity and should be identified as a program in the IHP, and any planned or actual expenditure from the Reserve Account would be reported by its program name in the Uses of Funding table.

With regard to loan repayments made with IHBG funds, describe planned loan repayments in Section 5, Line 4 of the IHP, and describe actual loan repayments in Section 5, Line 5 of the APR. Report the planned and actual amount of loan repayments in the dedicated row of the Uses of

Funding budget (Section 5, Line 2), except as noted in the following instructions for Column O in the Uses of Funding table. Column O should show the IHBG funds that were expended in the previous 12-month program year. If the recipient borrowed and repaid a loan or a portion of a loan in the same year using IHBG funds, show the repayment of the principal amount in the IHBG program line in the Uses of Funding table and report loan interest payments and loan expenses in the Loan Repayment line in the Uses of Funding table. The Administrative and Planning spending cap must be based on the actual expenditures incurred during the 12-month period, and not on the amount shown in the IHP. These expenditures should be reported on the Planning and Administration row. The total amount of IHBG funds expended cannot exceed the total amount in Column H, Row 1 of Line 1 (Sources of Funding table).

For the IHP, complete the **unshaded** sections to describe the planned activities, outcomes and outputs in the coming 12-month program year. The recipient must complete Lines 1.1 through 1.4, Lines 1.6 and 1.7, and Line 1.9 for each eligible activity or program planned for the One-Year IHP. For the APR, complete the shaded sections to describe actual accomplishments, outcomes, and outputs for the previous 12-month program year. In particular, complete Lines 1.5, 1.8, 1.9, and 1.10 for each program included in the IHP.

Eligible Activity May Include (*citations below all reference sections in NAHASDA*):

Eligible Activity	Output Measure	Output Completion
(1) Modernization of 1937 Act Housing [202(1)]	Units	All work completed and unit passed final inspection
(2) Operation of 1937 Act Housing [202(1)]	Units	Number of units in inventory at Program Year End (PYE)
(3) Acquisition of Rental Housing [202(2)]	Units	When recipient takes title to the unit
(4) Construction of Rental Housing [202(2)]	Units	All work completed and unit passed final inspection
(5) Rehabilitation of Rental Housing [202(2)]	Units	All work completed and unit passed final inspection
(6) Acquisition of Land for Rental Housing Development [202(2)]	Acres	When recipient takes title to the land
(7) Development of Emergency Shelters [202(2)]	Households	Number of households served at any one time, based on capacity of the shelter
(8) Conversion of Other Structures to Affordable Housing [202(2)]	Units	All work completed and unit passed final inspection
(9) Other Rental Housing Development [202(2)]	Units	All work completed and unit passed final inspection
(10) Acquisition of Land for Homebuyer Unit Development [202(2)]	Acres	When recipient takes title to the land
(11) New Construction of Homebuyer Units [202(2)]	Units	All work completed and unit passed final inspection
(12) Acquisition of Homebuyer Units [202(2)]	Units	When recipient takes title to the unit

(13) Down Payment/Closing Cost Assistance [202(2)]	Units	When binding commitment signed
(14) Lending Subsidies for Homebuyers (Loan) [202(2)]	Units	When binding commitment signed
(15) Other Homebuyer Assistance Activities [202(2)]	Units	When binding commitment signed
(16) Rehabilitation Assistance to Existing Homeowners [202(2)]	Units	All work completed and unit passed final inspection
(17) Tenant Based Rental Assistance [202(3)]	Households	Count each household once per year
(18) Other Housing Service [202(3)]	Households	Count each household once per year
(19) Housing Management Services [202(4)]	Households	Count each household once per year
(20) Operation and Maintenance of NAHASDA-Assisted Units [202(4)]	Units	Number of units in inventory at PYE
(21) Crime Prevention and Safety [202(5)]	Dollars	Dollars spent (report in Uses of Funding table only)
(22) Model Activities [202(6)]	Dollars	Dollars spent (report in Uses of Funding table only)
(23) Self-Determination Program [231-235]		
Acquisition	Units	When recipient takes title to the unit
Construction	Units	All work completed and unit passed final inspection
Rehabilitation	Units	All work completed and unit passed final inspection
Infrastructure	Dollars	Dollars spent (report in Uses of Funding table only)
(24) Infrastructure to Support Housing [202(2)]	Dollars	Dollars spent (report in Uses of Funding table only)
(25) Reserve Accounts [202(9)]	N/A	N/A

Outcome May Include:

(1) Reduce over-crowding	(7) Create new affordable rental units
(2) Assist renters to become homeowners	(8) Assist affordable housing for college students
(3) Improve quality of substandard units	(9) Provide accessibility for disabled/elderly persons
(4) Improve quality of existing infrastructure	(10) Improve energy efficiency
(5) Address homelessness	(11) Reduction in crime reports
(6) Assist affordable housing for low income households	(12) Other – must provide description in boxes 1.4 (IHP) and 1.5 (APR) below

IHP: PLANNED PROGRAM YEAR ACTIVITIES (NAHASDA § 102(b)(2)(A))

For each planned activity, complete all the non-shaded sections below. It is recommended that for each program name you assign a unique identifier to help distinguish individual programs. This unique number can be any number of your choosing, but it should be simple and clear so that you and HUD can track tasks and results under the program and collect appropriate file documentation tied to this program.

- One way to number your programs is chronologically. For example, you could number your programs 2011-1,

2011-2, 2011-3 etc.

- Or, you may wish to number the programs based on type. For example rental 1, rental 2, homebuyer1, homebuyer 2 etc. This type of numbering system might be appropriate if you have many programs that last over several years.
- Finally, you may wish to use an outline style of numbering. For example, all programs under your first eligible activity would start with the number 1 and then be consecutively numbered as 1.1, 1.2, 1.3 etc.

APR: REPORTING ON PROGRAM YEAR PROGRESS (NAHASDA § 404(b))

Complete the shaded section of text below to describe your completed program tasks and actual results. Only report on activities completed during the 12-month program year. Financial data should be presented using the same basis of accounting as the Schedule of Expenditures of Federal Awards (SEFA) in the annual OMB Circular A-133 audit. For unit accomplishments, only count units when the unit was completed and occupied during the year. For households, only count the household if it received the assistance during the previous 12-month program year.

1.1. Program Name and Unique Identifier:	2016-1: CAS Management (Operating)
1.2. Program Description <i>(This should be the description of the planned program.):</i> This program is designed to preserve and maintain the housing stock of the AHA constructed under the Housing Act of 1937. The AHA will ensure the viability of Mutual-help and Low Rent housing units through regular inspections, work order follow through and continued enforcement of program policies. This program will provide salaries and fringes for repairs, materials costs for planned repairs and operational costs associated with the maintenance of 1937 Act units.	
1.3. Eligible Activity Number <i>(Select one activity from the Eligible Activity list. Do not combine homeownership and rental housing in one activity, so that when housing units are reported in the APR they are correctly identified as homeownership or rental.):</i>	2
1.4. Intended Outcome Number <i>(Select one outcome from the Outcome list. Each program can have only one outcome. If more than one outcome applies, create a separate program for each outcome.):</i>	3
Describe Other Intended Outcome (Only if you selected "Other" above): 	
1.5. Actual Outcome Number <i>(In the APR identify the actual outcome from the Outcome list.):</i>	3
Describe Other Actual Outcome (Only if you selected "Other" in above): 	
1.6. Who Will Be Assisted <i>(Describe the types of households that will be assisted under the program. Please note: assistance made available to families whose incomes fall within 80 to 100 percent of the median should be included as a <u>separate</u> program within this section.):</i>	
Low Income Mutual-help and Low Rent Participants and their families.	
1.7. Types and Level of Assistance <i>(Describe the types and the level of assistance that will be provided to each household, as applicable.):</i>	

Households will receive counseling and maintenance remediation when problem areas are identified through regular inspections. These instances will be tracked through AHA's HDS work order system and complaints monitoring will follow through to completion whether it be direct action by the AHA or stricter enforcement of tenant policies. Board of Commissioners will regularly meet and make decisions based on facts presented with the interest of preserving all 1937 Act housing stock.

1.8. APR: Describe the accomplishments for the APR in the 12-month program year. In accordance with 24 CFR § 1000.512(b)(3), provide an analysis and explanation of cost overruns or high unit costs.

Inspections of 1937 Act housing was conducted, and households having maintenance issues were referred to housing services. Additional programs and services were provided such as maintenance workshops for an awareness of the problems encountered. Staffing was maintained to enforce policies and provide needed program support throughout the program year.

1.9: Planned and Actual Outputs for 12-Month Program Year

Planned Number of Units to be Completed in Year Under this Program	Planned Number of Households To Be Served in Year Under this Program	Planned Number of Acres To Be Purchased in Year Under this Program
142		
APR: Actual Number of Units Completed in Program Year	APR: Actual Number of Households Served in Program Year	APR: Actual Number of Acres Purchased in Program Year
142		

1.10: APR: If the program is behind schedule, explain why. (24 CFR § 1000.512(b)(2))

N/A

2.1. Program Name and Unique Identifier:	2016-2: AHA Supportive Housing for Special Needs
2.2. Program Description <i>(This should be the description of the planned program.):</i>	
<p>Considered the third expansion of the Sunrise Acres Complex, the AHA's Supportive Housing for Special Needs Program will serve Native American veterans and frail elderly tribal members with priority given to homelessness. The AHA is in the latter stages of planning and leveraging for two separate facilities that will integrate low income housing with supportive services for eligible low income Native Americans. This project will encompass partnerships with several local and regional service providers to benefit residents with programs addressing their individual needs, which will be formalized by housing services agreements that will enhance the lifestyles of the residents. Other sources of funds to be leveraged include Homeless Housing and HOME funds from the State of New York, a resource never before obtained by a Tribal Nation in New York</p>	
2.3. Eligible Activity Number <i>(Select one activity from the Eligible Activity list. Do not combine homeownership and rental housing in one activity, so that when housing units are reported in the APR they are correctly identified as homeownership or rental.):</i>	4
2.4. Intended Outcome Number <i>(Select one outcome from the Outcome list. Each program can have only one outcome. If more than one outcome applies, create a separate program for each outcome.):</i>	7
Describe Other Intended Outcome (Only if you selected "Other" above):	
2.5. Actual Outcome Number <i>(In the APR identify the actual outcome from the Outcome list.):</i>	
12	
Describe Other Actual Outcome (Only if you selected "Other" above): <p>The actual construction did not take place in the 2016 program year, however, resource development and leveraging for 100 % of this project was accomplished. See 2.8 Accomplishments below.</p>	
2.6. Who Will Be Assisted <i>(Describe the types of households that will be assisted under the program. Please note: assistance made available to families whose incomes fall within 80 to 100 percent of the median should be included as a <u>separate</u> program within this section.):</i>	
Low income Native American veterans and seniors with a preference for homelessness.	
2.7. Types and Level of Assistance <i>(Describe the types and the level of assistance that will be provided to each household, as applicable.):</i>	
The level of assistance for two of the six veterans units will be for 30-50% AMI, with four units no more than 80% AMI. Two of the twelve senior units will be for 30-50% AMI, with the remaining ten for units no more than 80% AMI. The AHA will apply for project based vouchers to fund and enhance the support services to residents.	
2.8. APR: <i>Describe the accomplishments for the APR in the 12-month program year.</i>	

The AHA was successfully awarded \$3 million of New York State homeless and HOME funds to contribute towards the two planned supportive housing facilities. Foundation and relationship building took place between agencies since the awards announcements in which they'd never before funded an on-territory project in the State. Several site control and logistical details needed to be melded so that all funding, compliance, and program requirements would be ensured for the life of the project. The total project cost is approximately \$5.3 million, which includes the AHA's IHBG portion. Construction of the six (6) homeless/veterans units and twelve (12) units is scheduled to begin May 2017.

2.9: Planned and Actual Outputs for 12-Month Program Year

Planned Number of Units to be Completed in Year Under this Program	Planned Number of Households To Be Served in Year Under this Program	Planned Number of Acres To Be Purchased in Year Under this Program
18		
APR: Actual Number of Units Completed in Program Year	APR: Actual Number of Households Served in Program Year	APR: Actual Number of Acres Purchased in Program Year
0		

2.10: APR: If the program is behind schedule, explain why. (24 CFR § 1000.512(b)(2))

With the project approval of the two State agencies, there left the need to educate each other and to prepare separate but related internal administrative systems to set up this special partnership. Several aspects of the agencies required extra time to vet out the best procedures, including a three pronged environmental review, site control/leasing issues between the State and the Tribe, state and federal procurement issues, financing timelines, construction standards, added state agency regulations and reporting requirements, and several other special considerations. This delayed our project somewhat, but we've agreed upon a path moving forward and are confident we will show considerable progress in 2017.

3.1. Program Name and Unique Identifier:	2016-3: AHA Mold Remediation Program
3.2. Program Description <i>(This should be the description of the planned program.):</i>	
This program is designed to be leveraged with an anticipated HUD mold grant to provide mold prevention and remediation on HUD-assisted homes that have been identified to have mold infestation within the home or conditions around the home that may cause continued deterioration without assistance. A five year participatory agreement will provide the mechanism for an eligible household as a condition of assistance. This allocation will be contingent upon an ICDBG award.	
3.3. Eligible Activity Number <i>(Select one activity from the Eligible Activity list. Do not combine homeownership and rental housing in one activity, so that when housing units are reported in the APR they are correctly identified as homeownership or rental.):</i>	1
3.4. Intended Outcome Number <i>(Select one outcome from the Outcome list. Each program can have only one outcome. If more than one outcome applies, create a separate program for each outcome.):</i>	3
Describe Other Intended Outcome (Only if you selected "Other" above):	
3.5. Actual Outcome Number <i>(In the APR identify the actual outcome from the Outcome list.):</i>	
3	
Describe Other Actual Outcome (Only if you selected "Other" above):	
3.6. Who Will Be Assisted <i>(Describe the types of households that will be assisted under the program. Please note: assistance made available to families whose incomes fall within 80 to 100 percent of the median should be included as a <u>separate</u> program within this section.):</i>	
Eligible 1937 Act and NAHASDA-assisted households.	
3.7. Types and Level of Assistance <i>(Describe the types and the level of assistance that will be provided to each household, as applicable.):</i>	
Assistance will be provided to current or past HUD-assisted households who fall within the income guidelines for a low income household.	
3.8. APR: <i>Describe the accomplishments for the APR in the 12-month program year.</i>	
N/A	
3.9: Planned and Actual Outputs for 12-Month Program Year	

Planned Number of Units to be Completed in Year Under this Program	Planned Number of Households To Be Served in Year Under this Program	Planned Number of Acres To Be Purchased in Year Under this Program
200		
APR: Actual Number of Units Completed in Program Year	APR: Actual Number of Households Served in Program Year	APR: Actual Number of Acres Purchased in Program Year
0		

3.10: APR: *If the program is behind schedule, explain why. (24 CFR § 1000.512(b)(2))*

This program did not receive the anticipated funding through the ICDBG 2015 funding round. The program will be reviewed and other funding sought in the near future. However the AHA wrote for and received the ICDBG 2016 funds for the High-Energy Efficiency Initiative, which was planned for 2017 IHBG funds. This program will address mold factors as well.

4.1. Program Name and Unique Identifier:	2016-4: AHA Tenant Assistance Program
4.2. Program Description <i>(This should be the description of the planned program.):</i>	
<p>The Tenant Assistance Program is a short term (2 year limit) rental subsidy program designed with a built in family self-sufficiency component. Families will learn to plan for a life goal that is consistent with the program mission, and will be administered through scheduled one-on-one meetings with the Housing Services Advocate and participate in regular classes planned to meet their goals. Active participation is required by the recipient of such assistance in order to remain in the program.</p>	
4.3. Eligible Activity Number <i>(Select one activity from the Eligible Activity list. Do not combine homeownership and rental housing in one activity, so that when housing units are reported in the APR they are correctly identified as homeownership or rental.):</i>	17
4.4. Intended Outcome Number <i>(Select one outcome from the Outcome list. Each program can have only one outcome. If more than one outcome applies, create a separate program for each outcome.):</i>	6
Describe Other Intended Outcome (Only if you selected "Other" above):	
4.5. Actual Outcome Number <i>(In the APR identify the actual outcome from the Outcome list.):</i>	
6	
Describe Other Actual Outcome (Only if you selected "Other" above):	
4.6. Who Will Be Assisted <i>(Describe the types of households that will be assisted under the program. Please note: assistance made available to families whose incomes fall within 80 to 100 percent of the median should be included as a <u>separate</u> program within this section.):</i>	
Low Income Eligible Families	
4.7. Types and Level of Assistance <i>(Describe the types and the level of assistance that will be provided to each household, as applicable.):</i>	
Rental subsidies will be provided to active participants who remain in compliance with program rules. 100% of rents will be paid for the first year of assistance and a sliding scale for the second year will be used to complete the program and self-sufficiency goals for the eligible families.	
4.8. APR: <i>Describe the accomplishments for the APR in the 12-month program year.</i>	
The rental assistance program is successful, with the implementation of the family self sufficiency program. The program produced eligible families who obtained employed, complete school and home ownership.	
4.9: Planned and Actual Outputs for 12-Month Program Year	

Planned Number of Units to be Completed in Year Under this Program	Planned Number of Households To Be Served in Year Under this Program	Planned Number of Acres To Be Purchased in Year Under this Program
	25	
APR: Actual Number of Units Completed in Program Year	APR: Actual Number of Households Served in Program Year	APR: Actual Number of Acres Purchased in Program Year
	36	
4.10: APR: If the program is behind schedule, explain why. (24 CFR § 1000.512(b)(2))		
N/A		

5.1. Program Name and Unique Identifier:	2016-5: Other Housing Services - Akwesasne Boys & Girls Club
5.2. Program Description <i>(This should be the description of the planned program.):</i>	
<p>The AHA will enter into an assistance agreement with the Akwesasne Boys & Girls Club for the program year. This assistance will directly benefit low income children and families who are active members of the Club. This activity will serve to promote safety and education as an alternative to crime related mischief related to youths.</p>	
5.3. Eligible Activity Number <i>(Select one activity from the Eligible Activity list. Do not combine homeownership and rental housing in one activity, so that when housing units are reported in the APR they are correctly identified as homeownership or rental.):</i>	18
5.4. Intended Outcome Number <i>(Select one outcome from the Outcome list. Each program can have only one outcome. If more than one outcome applies, create a separate program for each outcome.):</i>	11
Describe Other Intended Outcome (Only if you selected "Other" above):	
5.5. Actual Outcome Number <i>(In the APR identify the actual outcome from the Outcome list.):</i>	
11	
Describe Other Actual Outcome (Only if you selected "Other" above):	
5.6. Who Will Be Assisted <i>(Describe the types of households that will be assisted under the program. Please note: assistance made available to families whose incomes fall within 80 to 100 percent of the median should be included as a <u>separate</u> program within this section.):</i>	
Low income children and their families.	
5.7. Types and Level of Assistance <i>(Describe the types and the level of assistance that will be provided to each household, as applicable.):</i>	
Important after school academic, cultural, health and physical education activities will be provided in a positive and safe environment to at least 300 member children and their families per year. Direct programming will be provided to the Club in the form of materials, supplies, and salaries for youth workers.	
5.8. APR: <i>Describe the accomplishments for the APR in the 12-month program year.</i>	
The Akwesasne Boys & Girls Club (ABGC) has seen steady population growth, expanding their student numbers; as a result has begun a satellite program at the local Mohawk (elementary) School. The ABGC has recently been invited to manage the Boys and Girls Club charter at the neighboring town of Massena, New York, never before has there been a Native American club who has crossed over their administration to a neighboring municipality. The ABGC has received regional and national acclaim and is a shining example for all of Indian Country. The AHA is proud to support our charter and looks forward to future successes.	

5.9: Planned and Actual Outputs for 12-Month Program Year

Planned Number of Units to be Completed in Year Under this Program	Planned Number of Households To Be Served in Year Under this Program	Planned Number of Acres To Be Purchased in Year Under this Program
	300	
APR: Actual Number of Units Completed in Program Year	APR: Actual Number of Households Served in Program Year	APR: Actual Number of Acres Purchased in Program Year
	300	

5.10: APR: If the program is behind schedule, explain why. (24 CFR § 1000.512(b)(2))

N/A

6.1. Program Name and Unique Identifier:	2016-6: Housing Management Services	
6.2. Program Description <i>(This should be the description of the planned program.):</i>		
<p>This program is designed to promote supportive services to all NAHASDA-assisted housing participants. The Housing Services Department will focus on conducting trainings throughout the year, along with partnering with tribal and outside organizations in providing these services. Participant agreement training and maintenance workshops will continue as needed. We will actively participate in the community's fire safety and educational programs, and will concentrate on individualized self-sufficiency plans, with an added focus on maintaining green and sustainable system amenities. Funding from HUD's Resident Opportunity Support Services (ROSS) grant called STEP (Support for Elderly Program) will hopefully be renewed with a 2016 award. This program will assist elderly residents with gracefully aging in place. If awarded, ROSS will cover salaries, fringes, administration and travel.</p>		
6.3. Eligible Activity Number <i>(Select one activity from the Eligible Activity list. Do not combine homeownership and rental housing in one activity, so that when housing units are reported in the APR they are correctly identified as homeownership or rental.):</i>	19	
6.4. Intended Outcome Number <i>(Select one outcome from the Outcome list. Each program can have only one outcome. If more than one outcome applies, create a separate program for each outcome.):</i>	6	
Describe Other Intended Outcome (Only if you selected "Other" above):		
6.5. Actual Outcome Number <i>(In the APR identify the actual outcome from the Outcome list.):</i>		6
Describe Other Actual Outcome (Only if you selected "Other" above):		
6.6. Who Will Be Assisted <i>(Describe the types of households that will be assisted under the program. Please note: assistance made available to families whose incomes fall within 80 to 100 percent of the median should be included as a <u>separate</u> program within this section.):</i>		
Mutual-help, Low Rent, and Low Rent Elderly families in NAHASDA-assisted housing.		
6.7. Types and Level of Assistance <i>(Describe the types and the level of assistance that will be provided to each household, as applicable.):</i>		
Assistance provided will be support for programs and services to Mutual-help, Low Rent, and Low Rent Elderly families under NAHASDA-assisted and ROSS-assisted STEP programs of the AHA.		
6.8. APR: <i>Describe the accomplishments for the APR in the 12-month program year.</i>		

The supportive services provided by the ROSS, STEP through housing services programs have been very successful in providing opportunities for families and elderly residents to becoming self sufficient and effectively aging in place. The support of programs in place was strengthened this program year with the onset of two new staff members. There has been new ties to a regional housing coalition, which allowed the AHA to count homeless numbers as part of the Continuum of Care. This association was required as part of the AHA's work with the two new State agencies, however, this networking opportunity has lead us to stronger ties with our community organizations at the local level, that which has never been done before.

6.9: Planned and Actual Outputs for 12-Month Program Year

Planned Number of Units to be Completed in Year Under this Program	Planned Number of Households To Be Served in Year Under this Program	Planned Number of Acres To Be Purchased in Year Under this Program
	145	
APR: Actual Number of Units Completed in Program Year	APR: Actual Number of Households Served in Program Year	APR: Actual Number of Acres Purchased in Program Year
	145	

6.10: APR: If the program is behind schedule, explain why. (24 CFR § 1000.512(b)(2))

N/A

SECTION 16: IHP AMENDMENTS

24 CFR §1000.512

Use this section for IHP amendments only.

This section is only filled out if the recipient is making an official amendment to an IHP that was previously determined to be in compliance by HUD, and the recipient is required to send the amended IHP to HUD for review. The recipient may amend its IHP at any time during the Program Year.

There are only two instances when an IHP amendment must be submitted to HUD for review and determination of compliance:

- (1) When the recipient is adding a new activity that was not described in the current One-Year Plan that was determined to be in compliance by HUD; or
- (2) When the recipient is reducing the amount of funds budgeted to protect and maintain the viability of housing assisted under the 1937 Act.

The recipient is not required to submit an amended IHP to HUD:

If the revision simply alters the IHBG budget, including moving funds among planned tasks, or if it deletes a planned activity, *unless* the re-programmed funds from the budget amendment or task deletion will be used for a new task not currently in an IHP determined by HUD to be in compliance, *or unless* the change is to reduce the budget supporting 1937 Act units.

NOTES:

If Line 2 in Section 8 (IHP Tribal Certification) is checked in the current IHP, a new certification must be signed and dated by the authorized tribal official and submitted with the IHP Amendment.

Section 1 (Cover Page) is recommended but not required with an IHP Amendment submission.

Eligible Activity	Output Measure	Output Completion
(1) Modernization of 1937 Act Housing [202(1)]	Units	All work completed and unit passed final inspection
(2) Operation of 1937 Act Housing [202(1)]	Units	Number of units in inventory at Program Year End (PYE)
(3) Acquisition of Rental Housing [202(2)]	Units	When recipient takes title to the unit
(4) Construction of Rental Housing [202(2)]	Units	All work completed and unit passed final inspection
(5) Rehabilitation of Rental Housing [202(2)]	Units	All work completed and unit passed final inspection

(6) Acquisition of Land for Rental Housing Development [202(2)]	Acres	When recipient takes title to the land
(7) Development of Emergency Shelters [202(2)]	Households	Number of households served at any one time, based on capacity of the shelter
(8) Conversion of Other Structures to Affordable Housing [202(2)]	Units	All work completed and unit passed final inspection
(9) Other Rental Housing Development [202(2)]	Units	All work completed and unit passed final inspection
(10) Acquisition of Land for Homebuyer Unit Development [202(2)]	Acres	When recipient takes title to the land
(11) New Construction of Homebuyer Units [202(2)]	Units	All work completed and unit passed final inspection
(12) Acquisition of Homebuyer Units [202(2)]	Units	When recipient takes title to the unit
(13) Down Payment/Closing Cost Assistance [202(2)]	Units	When binding commitment signed
(14) Lending Subsidies for Homebuyers (Loan) [202(2)]	Units	When binding commitment signed
(15) Other Homebuyer Assistance Activities [202(2)]	Units	When binding commitment signed
(16) Rehabilitation Assistance to Existing Homeowners [202(2)]	Units	All work completed and unit passed final inspection
(17) Tenant Based Rental Assistance [202(3)]	Households	Count each household once per year
(18) Other Housing Service [202(3)]	Households	Count each household once per year
(19) Housing Management Services [202(4)]	Households	Count each household once per year
(20) Operation and Maintenance of NAHASDA-Assisted Units [202(4)]	Units	Number of units in inventory at PYE
(21) Crime Prevention and Safety [202(5)]	Dollars	Dollars spent (report in Uses of Funding Table only)
(22) Model Activities [202(6)]	Dollars	Dollars spent (report in Uses of Funding Table only)
(23) Self-Determination Program [231-235]		
Acquisition	Units	When recipient takes title to the unit
Construction	Units	All work completed and unit passed final inspection
Rehabilitation	Units	All work completed and unit passed final inspection
Infrastructure	Dollars	Dollars spent (report in Uses of Funding Table only)
(24) Infrastructure to Support Housing [202(2)]	Dollars	Dollars spent (report in Uses of Funding Table only)
(25) Reserve Accounts [202(9)]	N/A	N/A

APR: REPORTING ON PROGRAM YEAR PROGRESS (NAHASDA § 404(b))

Complete the shaded section of text below to describe your completed program tasks and actual results. Only report on activities completed during the 12-month program year. Financial data should be presented using the same basis of accounting as the Schedule of Expenditures of Federal Awards (SEFA) in the annual OMB Circular A-133 audit. For unit accomplishments, only count units when the unit was completed and occupied during the year. For households, only count the household if it received the assistance during the previous 12-month program year.

1. Program Name and Unique Identifier:	2016-7: Title VI Construction Loan	
2. Program Description <i>(This should be the description of the planned program.):</i>		
<p>The AHA plans to use the Title VI Loan Guarantee Program to finance the construction of the Sunrise Acres Phase III development consisting of two new buildings to construct a total of (18) permanent supportive housing units for low income homeless/veterans, seniors and disabled tribal members. The proposed loan (up to \$3,000,000.00) will be an interim construction loan to pay for the costs incurred during the construction period. The loan will have a term of 18 months with interest payments payable only during the term. The principle payment will come due at the end of the term and will be paid with grant funding from the New York State Department Office of Temporary and Disability Assistance (Homeless Housing Assistance Program funds), the New York State Department of Homes and Community Renewal (HOME Program) and the AHA's Indian Housing Block Grant. Construction of these units will not begin until FY 2017.</p>		
3. Eligible Activity Number <i>(Select one activity from the Eligible Activity list. Do not combine homeownership and rental housing in one activity, so that when housing units are reported in the APR they are correctly identified as homeownership or rental.):</i>	4	
4. Intended Outcome Number <i>(Select one outcome from the Outcome list.):</i>	7	
Describe Other Intended Outcome (Only if you selected "Other" above):		
5. Actual Outcome Number <i>(In the APR identify the actual outcome from the Outcome list.):</i>	12	
Describe Other Actual Outcome (Only if you selected "Other" in above):		
<p>The AHA and its partnering financial institution (CPC) has reached the "firm commitment" level for Title VI funding. The funds will not be accessed until 2017 however. Much of the ground work between agencies, including HUD/Washington DC office has resulted in a good working relationship as the mechanisms of the Title VI Program are worked through. We look forward to a loan closing in the Spring 2017.</p>		
6. Who Will Be Assisted <i>(Describe the types of households that will be assisted under the program. Please note: assistance made available to families whose incomes fall within 80 to 100 percent of the median should be included as a <u>separate</u> program within this section.):</i>		
<p>Low income homeless/veterans, seniors and disabled tribal members who fall within 80% of AML.</p>		

7. Types and Level of Assistance (Describe the types and the level of assistance that will be provided to each household, as applicable.):

Title VI Loan Guarantee Program to finance construction of the Sunrise Acres II development of two new buildings to construct a total of eighteen permanent supportive housing units. Assistance will be provided to households that do not exceed 80% of the AMI.

8. APR: Describe the accomplishments for the APR in the 12-month program year.

The AHA was approved a \$3,000,000 construction loan from HUD's Title VI program to assist in the construction of eighteen permanent supportive housing.

9. Planned and Actual Outputs for 12-Month Program Year

Planned Number of Units to be Completed in Year Under this Program	Planned Number of Households To Be Served in Year Under this Program	Planned Number of Acres To Be Purchased in Year Under this Program
18		
APR: Actual Number of Units Completed in Program Year	APR: Actual Number of Households Served in Program Year	APR: Actual Number of Acres Purchased in Program Year
0		

10. APR: If the program is behind schedule, explain why. (24 CFR § 1000.512(b)(2))

The construction is scheduled to begin in 2017, in line with other funding requirements.

(11) Amended Sources of Funding (NAHASDA § 102(b)(2)(C)(i) and 404(b)) (Complete the **non-shaded** portions of the chart below to describe your estimated or anticipated sources of funding for the 12-month program year. **APR Actual Sources of Funding -- Please complete the shaded portions of the chart below to describe your actual funds received. Only report on funds actually received and under a grant agreement or other binding commitment during the 12-month program year.**)

SOURCE	IHP					APR					
	(A) Estimated amount on hand at beginning of program year	(B) Estimated amount to be received during 12-month program year	(C) Estimated total sources of funds (A+B)	(D) Estimated funds to be expended during 12-month program year	(E) Estimated unexpended funds remaining at end of program year (C-D)	(F) Actual amount on hand at beginning of program year	(G) Actual amount received during 12-month program year	(H) Actual total sources of funding (F+G)	(I) Actual funds expended during 12-month program year	(J) Actual unexpended funds remaining at end of 12-month program year (H - I)	(K) Actual unexpended funds obligated but not expended at end of 12-month program year
1. IHBG Funds	\$2,364,639	\$2,163,477	\$4,528,116	\$2,452,340	\$2,075,775	\$2,764,381	\$2,039,575	\$4,803,956	\$1,459,736	\$3,344,220	\$173,575
2. IHBG Program Income		\$306,906	\$306,906	\$306,906	\$0	\$0	\$388,230	\$388,230	\$388,230	\$0	\$0
3. Title VI		\$3,500,000	\$3,500,000		\$3,500,000	\$0	\$0	\$0	\$0	\$0	\$0
4. Title VI Program Income			\$0		\$0	\$0	\$0	\$0	\$0	\$0	\$0
5. 1937 Act Operating Reserves			\$0		\$0	\$0		\$0	\$0	\$0	\$0
6. Carry Over 1937 Act Funds			\$0		\$0	\$0		\$0	\$0	\$0	\$0
LEVERAGED FUNDS											
7. ICDBG Funds			\$0		\$0	\$0	\$0	\$0	\$0	\$0	\$0
8. Other Federal Funds			\$0		\$0	\$0	\$0	\$0	\$0	\$0	\$0
9. LIHTC			\$0		\$0	\$0	\$0	\$0	\$0	\$0	\$0
10. Non-Federal Funds	\$250,000	\$3,000,000	\$3,250,000	\$250,000	\$3,000,000	\$132,640	\$0	\$132,640	\$132,640	\$0	\$0
TOTAL	\$2,614,639	\$8,970,383	\$11,585,022	\$3,009,246	\$8,575,775	\$2,897,021	\$2,427,805	\$5,324,826	\$1,980,606	\$3,344,220	\$173,575

TOTAL Columns C & H, 2 through 10	\$7,056,906	\$520,870
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Notes:

- a. For the IHP, fill in columns A, B, C, D, and E (non-shaded columns). **For the APR, fill in columns F, G, H, I, J, and K (shaded columns).**
- b. Total of Column D should match the total of Column N from the **Uses of Funding table below.**
- c. **Total of Column I should match the Total of Column Q from the Uses of Funding table below.**

(12) Amended Uses of Funding (NAHASDA § 102(b)(2)(C)(ii)) (Note that the budget should not exceed the total funds on hand and insert as many rows as needed to include all the programs identified in Section 3. **Actual expenditures in the APR section are for the 12-month program year.**)

PROGRAM NAME	IHP			APR		
	(L) Prior and current year IHBG (only) funds to be expended in 12-month program year	(M) Total all other funds to be expended in 12-month program year	(N) Total funds to be expended in 12-month program year (L+M)	(O) Total IHBG (only) funds expended in 12-month program year	(P) Total all other funds expended in 12-month program year	(Q) Total funds expended in 12-month program year (O+P)
2016-7: Title VI Construction Loan	\$0	\$0	\$0	\$0	\$0	\$0
2016-1: CAS Management (Operating)	\$557,417	\$0	\$557,417	\$519,761	\$0	\$519,761
2016-2: AHA Supportive Housing for Special Needs	\$972,035	\$250,000	\$1,222,035	\$217,290	\$132,640	\$349,930
2016-3: AHA Mold Remediation Program	\$250,000	\$0	\$250,000	\$0	\$0	\$0

2016-4: AHA Tenant Assistance Program	\$155,000	\$0	\$155,000	\$133,158	\$0	\$133,158
2016-5: Other Housing Services - Akwesasne Boys & Girls Club	\$50,000	\$0	\$50,000	\$50,000	\$0	\$50,000
2016-6: Housing Management Services	\$157,790	\$0	\$157,790	\$171,169	\$0	\$171,169
Planning and Administration	\$310,098	\$306,906	\$617,004	\$368,358	\$388,230	\$756,588
Loan repayment - describe in 3 & 4 below			\$0	\$0	\$0	\$0
TOTAL	\$2,452,340	\$556,906	\$3,009,246	\$1,459,736	\$520,870	\$1,980,606

Notes:



- a. Total of Column L cannot exceed the IHBG funds from Column C, Row 1 from the Estimated Sources of Funding table in Line 2 above.
- b. Total of Column M cannot exceed the total from Column C, Rows 2-10 from the Estimated Sources of Funding table in Line 2 above.
- c. Total of Column O cannot exceed total IHBG funds received in Column H, Row 1 from the Estimated Sources of Funding table in Line 2 above.
- d. Total of Column P cannot exceed total of Column H, Rows 2-10 of the Estimated Sources of Funding table in Line 2 above.
- e. Total of Column Q should equal total of Column I of the Estimated Sources of Funding table in Line 2 above.

(13) Estimated Sources or Uses of Funding (NAHASDA § 102(b)(2)(C)). *(Provide any additional information about the estimated sources or uses of funding, including leverage (if any). You must provide the relevant information for any planned loan repayment listed in the Uses of Funding table on the previous page. This planned loan repayment can be associated with Title VI or with private or tribal funding that is used for an eligible activity described in an IHP that has been determined to be in compliance by HUD. The text must describe which specific loan is planned to be repaid and the NAHASDA-eligible activity and program associated with this loan):*

Program income generated from NAHASDA-assisted units is a source of income the AHA will utilize to meet its operating expenses. Several grant-specific funding sources may generated awards in 2016 but they have not yet been awarded. These sources will be utilized to carry out part of the 2016 eligible activities under a new supportive housing initiative, contingent on funding.

(14) APR (NAHASDA § 404(b)) *(Enter any additional information about the actual sources or uses of funding, including leverage (if any). You must provide the relevant information for any actual loan repayment listed in the Uses of Funding table on the previous page. The text must describe which loan was repaid and the NAHASDA-eligible activity and program associated with this loan.):*

Firm comittment for a Title VI construction loan was obtained in 2016, however the loan has not yet closed. This will be completed in Spring 2017.

(15) Recipient:	Akwesasne Housing Authority	
(16) Authorized Official's Name	Retha M. Herne	
(17) Authorized Official's Signature:	I certify that all other sections of the IHP approved on	8/18/2016
	are accurate and reflect the activities planned.	
(18) Date (MM/DD/YYYY):		 7-Feb-17